

CERTIFICATE OF ATTENDANCE FOR CALIFORNIA MCLE

Top portion of form to be completed by the MCLE Provider

Provider Name: Securities Docket

Provider Number: 17248

Title of Activity: West Coast SEC Directors Roundtable

Date(s) of Activity: May 8, 2014

Time of Activity: 9 am - 10:30 am

Location of Activity (City, State): San Francisco, California

Total California MCLE Credit Hours for the above activity are 1.50, including the following sub-field credits:

- Legal Ethics 0.00
- Elimination of Bias in the Legal Profession 0.00
- Prevention, Detection and Treatment of Substance Abuse/Mental Illness that Impairs Professional Competence 0.00

Bottom portion of form to be completed by the Attorney after participation in the above-referenced activity

By signing below, I certify that I participated in all, or some*, of the activity described above and am therefore entitled to the following MCLE credit hours -

Total California MCLE Credit Hours _____, including the following sub-field credits

Legal Ethics _____

Elimination of Bias in the Legal Profession _____

Prevention, Detection and Treatment of Substance Abuse / Mental Illness that Impairs Professional Competence _____

(You may not claim credit for sub-fields unless the Provider is granting credit in those areas and you participated in those portions of the activity)

Print Your Name _____

Your California State Bar Number _____

Signature _____