



# AGENDA

## SECURITIES ENFORCEMENT FORUM 2018

NOVEMBER 1, 2018 – WASHINGTON, D.C.

**7:00 - 8:20 AM: Breakfast and Check-In**

**8:20 - 8:30 AM: Welcome Remarks**

**8:30 - 9:10 AM: Cybersecurity: Enforcement and Regulation of the “Greatest Threat to Our Markets Right Now”**

Moderator: Dixie L. Johnson

Panelists: Robert A. Cohen, Michael Liftik, Samuel J. Waldon

**9:15 - 9:55 AM: Litigating Securities Fraud Cases Against the SEC and DOJ**

Moderator: Lorin L. Reisner

Panelists: Bridget Fitzpatrick, Terence Healy, Jeremiah Williams

**10:10 - 10:50 AM: FCPA Trends and Developments**

Moderator: Caz Hashemi

Panelists: Charles E. Cain, James M. Koukios, Fiona A. Philip

**10:55 - 11:35 PM: Insider Trading Trends and Developments**

Moderator: Doug Davison

Panelists: Jonathan R. Barr, Luis R. Mejia, Bridget Moore

**11:50 - 12:30 PM: Whistleblower Update**

Moderator: Thomas A. Sporkin

Panelists: William R. Baker III, Jane A. Norberg, Michael D. Trager

**12:30 - 1:30 PM: Lunch Break and Afternoon Q&A Keynote with with Robert J. Jackson Jr., Commissioner, U.S. Securities and Exchange Commission**

Moderator: Dick Walker

**1:35 - 2:25 PM: Directors’ Panel**

Moderator: Bradley J. Bondi

Panelists: Stephanie Avakian, George S. Canellos, Andrew J. Ceresney, William R. McLucas, Linda Chatman Thomsen, Dick Walker

**2:30 - 3:10 PM: Financial and Accounting Fraud**

Moderator: Jason Flemmons

Panelists: Anita B. Bandy, Philip S. Khinda, Jonathan R. Tuttle

**3:25 - 4:05 PM: Financial Firm Spotlight: Enforcement and Regulatory Developments for Asset Managers, Broker-Dealers, Hedge Funds and More**

Moderator: Elizabeth P. Gray

Panelists: Christian R. Bartholomew, Kevin J. O’Connor, William E. White, Erica Williams

**4:20 - 5:00 PM: Cryptocurrencies and ICOs: Where Will Enforcement Strike Next?**

Moderator: Gerard Hodgkins

Panelists: Luke T. Cadigan, Melissa Hodgman, Dr. Nicole M. Moran

**5:00 - 6:00 PM: Cocktail Reception**

Presented by Securities Docket

