



AGENDA

SECURITIES ENFORCEMENT FORUM WEST 2018
MAY 10, 2018 – SAN FRANCISCO, CALIFORNIA

7:00 - 8:20 AM: Breakfast and Check-In

8:20 - 8:30 AM: Welcome Remarks

8:30 - 9:10 AM: Cybersecurity: Enforcement and Regulation of the “Greatest Threat to Our Markets Right Now”

Moderator: John C. LaBella

Panelists: Tracy S. Combs, Beth George, Kenneth Herzinger, John W. Spiegel

9:15 - 9:55 AM: Silicon Valley Spotlight: Regulation, Enforcement and Prosecution Trends

Moderator: James N. Kramer

Panelists: Philip S. Khinda, Eric Poer, Erin E. Schneider

10:10 - 10:50 AM: Insider Trading Trends and Whistleblower Update

Moderator: Shannon Eagan

Panelists: John W. Berry, Tracy L. Davis, Susan D. Resley

10:55 - 11:35 PM: Financial Firm Spotlight: Enforcement and Regulatory Developments for Asset Managers, Broker-Dealers, Hedge Funds and More

Moderator: Sara B. Brody

Panelists: Peter I. Altman, Jeremy Pendrey, Kimberly Summe

11:50 - 12:30 PM: Financial and Accounting Fraud

Moderator: Steven E. Richards

Panelists: Caz Hashemi, Jennifer J. Lee, Craig D. Martin, Howard Scheck

12:30 - 1:30 PM: Lunch Break and Afternoon Q&A Keynote with Robert A. Cohen, Chief, Cyber Unit, SEC’s Division of Enforcement

Moderator: David Glockner

1:35 - 2:25 PM: Directors’ Panel

Moderator: Jordan Eth

Panelists: Jina L. Choi, Michele Wein Layne, Marc J. Fagel, Randall R. Lee

2:30 - 3:10 PM: FCPA Trends and Developments

Moderator: Jean Chow-Callam

Panelists: Ansu N. Banerjee, Sharon Bunzel, Kevin M. Gross, Sean T. Prosser

3:25 - 4:05 PM: Cryptocurrencies and ICOs: Where Will Enforcement Strike Next?

Moderator: Nicolas Morgan

Panelists: Steven Buchholz, Michael S. Dicke, Guido van Drunen

4:20 - 5:00 PM: Litigating Securities Fraud Cases Against the SEC and DOJ

Moderator: Patrick D. Robbins

Panelists: William R. Baker III, Amy Jane Longo, Robert S. Leach, Jennifer Marietta-Westberg

5:00 - 6:00 PM: Cocktail Reception

Presented by Securities Docket

